

Class Member ID:



308740000000

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 In re: LONGWEI PETROLEUM INVESTMENT )  
 HOLDING LIMITED SECURITIES )  
 LITIGATION )  
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13 Civ. 214

**PROOF OF CLAIM**

This Document Relates To: All Actions

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**Deadline for Submission: October 5, 2016**

If you purchased or otherwise acquired Longwei Petroleum Investment Holding Limited (“Longwei”) common stock between September 28, 2010 and January 3, 2013, inclusive (the “Class Period”), you may be a “Class Member” and entitled to share in the settlement proceeds.

IF YOU ARE A CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS PROOF OF CLAIM AND RELEASE (“PROOF OF CLAIM”) FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM FORM AND MAIL IT BY FIRST CLASS MAIL, POSTMARKED NO LATER THAN OCTOBER 5, 2016 TO HEFFLER CLAIMS GROUP, THE CLAIMS ADMINISTRATOR, AT THE FOLLOWING ADDRESS:

LONGWEI PETROLEUM INVESTMENT HOLDING LIMITED SECURITIES LITIGATION  
 c/o Heffler Claims Group  
 P.O. Box 58504  
 Philadelphia, PA 19102-8504

YOUR FAILURE TO SUBMIT YOUR PROOF OF CLAIM FORM AND THE SUPPORTING DOCUMENTATION BY OCTOBER 5, 2016 WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR. NOTE THAT CLAIMS CALCULATING TO AN AWARD AMOUNT LESS THAN \$10.00 WILL NOT BE PAID.



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**CLAIMANT'S STATEMENT**

1. I (we) purchased the common stock in Longwei and was (were) damaged thereby. (Do not submit this Proof of Claim if you did not purchase the common stock of Longwei during the designated Class Period).
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Class Member as defined in the Notice of Proposed Settlement of Class Action (the "Settlement Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Class; that I (we) have read and understand the Settlement Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Settlement Notice; that I (we) elect to participate in the proposed Settlement described in the Settlement Notice; and that I (we) have not filed a request for exclusion. (If you are acting in a representative capacity on behalf of a Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Longwei common stock during the Class Period, and each sale, if any, of Longwei common stock. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Longwei common stock listed below in support of my (our) claim. (IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized loss. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information, including, where applicable, information concerning transactions in any derivatives securities such as options.)
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Settlement Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Released Claims," as defined in the Stipulation of Settlement.
8. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants **MUST** submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at 1 (844) 491-5742 or visit their website at [www.longweipetroleumsecuritieslitigation.com](http://www.longweipetroleumsecuritieslitigation.com), to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.





**MUST BE  
POSTMARKED  
NO LATER THAN  
OCTOBER 5, 2016**

**In re: Longwei Petroleum Investment Holding Limited  
Securities Litigation No. 13 Civ. 214  
PROOF OF CLAIM AND RELEASE**

Internal Use

**I. CLAIMANT INFORMATION**

Part 1 – Complete this Section only if the Investor is an individual, joint, UGMA, UTMA or IRA account. Otherwise proceed to Part 2.

Name (Investor)		
First: <input type="text"/>	Middle: <input type="text"/>	Last: <input type="text"/>
Name (Join Beneficial Owner, if applicable)		
First: <input type="text"/>	Middle: <input type="text"/>	Last: <input type="text"/>
Name of Custodian, if applicable		
First: <input type="text"/>	Middle: <input type="text"/>	Last: <input type="text"/>
If this account is an UGMA, UTMA or IRA, please include "UGMA", "UTMA", or "IRA" in the "Last" box above (e.g., Jones IRA).		

Part 2 – Complete this Section ONLY if the investor is an entity; i.e., corporation, trust, estate, etc.

Entity Name <input type="text"/>
Name of Representative (Executor, Administrator, trustee, corporate officer, etc.) <input type="text"/>

Part 3 – Account/Mailing Information:

Address <input type="text"/>		
<input type="text"/>		
City <input type="text"/>	State <input type="text"/>	ZIP <input type="text"/>
Foreign Province <input type="text"/>	Foreign Country <input type="text"/>	
Day Phone <input type="text"/>	Evening Phone <input type="text"/>	
Email <input type="text"/>		
Social Security Number (for individuals) <input type="text"/>	OR	Taxpayer Identification Number (for estates, trusts, corporations, etc.) <input type="text"/>





## II. SCHEDULE OF TRANSACTIONS IN LONGWEI COMMON STOCK

### Beginning Holdings:

A. State the total number of shares of Longwei common stock owned at the close of trading on September 27, 2010, long or short (*must be documented*). □□□□□□□□

### Purchases:

Separately list each and every purchase of Longwei common stock during the period from September 28, 2010 through January 3, 2013, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Price per Share	Total Cost (Excluding Commissions, Taxes, and Fees)
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### Sales:

Separately list each and every sale of Longwei common stock during the period September 28, 2010 through January 3, 2013, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Price per Share	Amount Received (Excluding Commissions, Taxes, and Fees)
□□-□□-□□□□	□□□□□□□□□□	□□□□.□□	□□□□□□.□□
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### Ending Holdings:

C. State the total number of shares of Longwei common stock owned at the close of trading on January 3, 2013, long or short (*must be documented*). □□□□□□□□

If additional space is needed, attach separate, numbered sheets, giving all required information, substantially in the same format, and print your name and Social Security or Taxpayer Identification Number at the top of each sheet. NOTE THAT CLAIMS CALCULATING TO AN AWARD AMOUNT LESS THAN \$10.00 WILL NOT BE PAID.

## III. SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number:

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals)	or	Taxpayer Identification Number (for estates, trusts, corporations, etc.)
□□□-□□-□□□□		□□-□□□□□□□□





**CERTIFICATION**

I (We) certify that I am (we are) NOT subject to backup withholding under the provisions of Section 3406 (a)(1)(c) of the Internal Revenue Code because: (a) I am (We are) exempt from backup withholding, or (b) I (We) have not been notified by the I.R.S. that I am (we are) subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the I.R.S. has notified me (us) that I am (we are) no longer subject to backup withholding.

NOTE: If you have been notified by the I.R.S. that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

UNDER THE PENALTIES OF PERJURY UNDER THE LAWS OF THE UNITED STATES, I (WE) CERTIFY THAT ALL OF THE INFORMATION I (WE) PROVIDED ON THIS PROOF OF CLAIM AND RELEASE FORM IS TRUE, CORRECT AND COMPLETE.

Signature of Claimant (If this claim is being made on behalf of Joint Claimants, then each must sign):

\_\_\_\_\_  
(Signature)

Date: \_\_\_ / \_\_\_ / \_\_\_\_\_

\_\_\_\_\_  
(Signature of Joint Claimant, if applicable)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g. beneficial purchaser(s), executor, administrator, trustee, etc.) Check here if proof of authority to file is enclosed.  
(See Item 2 under Claimant's Statement)

**THIS PROOF OF CLAIM MUST BE SUBMITTED NO LATER THAN  
OCTOBER 5, 2016 AND MUST BE MAILED TO:**

**LONGWEI PETROLEUM INVESTMENT HOLDING LIMITED SECURITIES LITIGATION  
c/o Heffler Claims Group  
P.O. Box 58504  
Philadelphia, PA 19102-8504  
Toll Free: (844) 491-5742**

A Proof of Claim received by the Claims Administrator shall be deemed to have been submitted when posted, if mailed by October 5, 2016 and if a postmark is indicated on the envelope and it is mailed first class and addressed in accordance with the above instructions. In all other cases, a Proof of Claim shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it may take a significant amount of time to process fully all of the Proofs of Claim and to administer the Settlement. This work will be completed as promptly as time permits, given the need to investigate and tabulate each Proof of Claim. Please notify the Claims Administrator of any change of address.



LONGWEI PETROLEUM SECURITIES LITIGATION  
c/o Heffler Claims Group  
P.O. Box 58504  
Philadelphia, PA 19102-8504

#### **REMINDER CHECKLIST**

- Please be sure to sign this Proof of Claim on page 5. If this Proof of Claim is submitted on behalf of joint claimants, then both claimants must sign.
- Please remember to attach supporting documents. Do NOT send any stock certificates. Keep copies of everything you submit.
- Do NOT use a highlighter on the Proof of Claim or any supporting documents.
- If you move after submitting this Proof of Claim, please notify the Claims Administrator of the change in your address.