MUST BE POSTMARKED NO LATER THAN JULY 24, 2018

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PART I – IMPORTANT QUESTIONS

## Facebook Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173007 Milwaukee, WI 53217

FOR INTERNAL USE ONLY

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Toll-Free Number: (866) 963-9974
Email: info@FacebookSecuritiesLitigation.com
Website: www.FacebookSecuritiesLitigation.com

### PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a share of the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form ("Claim Form") and mail it by first-class mail to the above address, postmarked no later than July 24, 2018.

Failure to submit your Claim Form by the date specified will subject your claim to rejection and may preclude you from being eligible to receive any money in connection with the Settlement.

Do not mail or deliver your Claim Form to the Court, the Parties to the Action, or their counsel. Submit your Claim Form only to the Claims Administrator at the address set forth above.

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	PART I – IMPORTANT QUESTIONS	
Yo	ou <u>must</u> answer these questions in order for your Claim to be potentially eligible for a recovery:	
1.	Did the Claimant (on whose behalf this Claim Form is submitted) purchase shares of Facebook Class A common ("Facebook Common Stock") during the period from May 17, 2012 through May 21, 2012 (the "Class Period") thro investment advisor or other person who acted with full discretionary authority in making those purchases?	
	Yes No	
	<b>Note:</b> Acting with "full discretionary authority" means that the investment advisor was authorized to purchase shabehalf of the Claimant without needing any confirmation or direction from the Claimant.	ares on
2.	If you answered "Yes" to question 1 above, please identify the name of the investment adviser company or other personacted with full discretionary authority in making the purchases of Facebook Common Stock for the Claimant during the Period. Please list the name of the company, not the name of the individual adviser, if he or she was employe company:	e Class
3.	Was the Claimant an "institutional investor" as that term is defined under Financial Industry Regulatory Authority 2210 and 4512 during the period from May 17, 2012 through May 21, 2012?	Rules
	<b>Note:</b> Under these rules, an "institutional investor" generally includes entities such as banks, savings and loan associ insurance companies, registered investment companies, governmental entities or subdivisions thereof, and certain embenefit plans; investment advisors registered with the SEC or a state securities commission; or any other person (who natural person, corporation, partnership, trust or otherwise) with total assets of at least \$50 million.	ployee
	Yes No	

Claimants must sign on page 7 of this Claim Form and attest to the accuracy of these answers under penalty of perjury.

## PART II – CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

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#### PART III - GENERAL INSTRUCTIONS

- 1. It is important that you completely read and understand the Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Fairness Hearing; and (III) Motion for an Award of Attorneys' Fees and Litigation Expenses (the "Settlement Notice") that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice. The Settlement Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Settlement Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Settlement Notice, including the terms of the releases described therein and provided for herein.
- 2. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlement described in the Settlement Notice. IF YOU ARE NOT A CLASS MEMBER (see the definition of the Class on page 6 of the Settlement Notice, which sets forth who is included in and who is excluded from the Class), OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE CLASS IN CONNECTION WITH THE PREVIOUSLY DISSEMINATED CLASS NOTICE AND ARE LISTED ON APPENDIX 1 TO THE STIPULATION, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.
- 3. Submission of this Claim Form does not guarantee that you will share in the proceeds of the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.
- 4. Use the Schedule of Transactions in Part IV of this Claim Form to supply all required details of your transaction(s) (including free transfers and deliveries) in and holdings of, Facebook Common Stock. On this schedule, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Facebook Common Stock, whether such transactions resulted in a profit or a loss. Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.
- 5. Please note: Only Facebook Common Stock purchased during the Class Period (*i.e.*, from May 17, 2012 through May 21, 2012, inclusive) is eligible under the Settlement. However, sales of Facebook Common Stock during the period from May 22, 2012 through February 23, 2018, inclusive, may be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the number of shares purchased or acquired during this period must also be provided.
- 6. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Facebook Common Stock set forth in the Schedule of Transactions in Part IV of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Facebook Common Stock. IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.
- 7. Use Part II of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of Facebook Common Stock. The complete name(s) of the beneficial owner(s) must be entered. If you held the eligible Facebook Common Stock in your own name, you are the beneficial owner as well as the record owner. If, however, your shares of eligible Facebook Common Stock were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner of these shares, but the third party is the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be eligible to participate in the Settlement. If there are joint beneficial owners each must sign this Claim Form and their names must appear as "Claimants" in Part II of this Claim Form.
- 8. **One Claim Form should be submitted for each separate legal entity.** Separate Claim Forms should be submitted for each separate legal entity (*e.g.*, a claim from joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Claim Form should be submitted on behalf of one legal entity including all transactions made by that entity on one Claim Form, no matter how many separate accounts that entity has (*e.g.*, a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form).

- 9. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:
  - (a) expressly state the capacity in which they are acting;
  - (b) identify the name, account number, Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Facebook Common Stock; and
  - (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)
- 10. By submitting a signed Claim Form, you will be swearing that you:
  - (a) own or owned the Facebook Common Stock you have listed in the Claim Form; or
  - (b) are expressly authorized to act on behalf of the owner thereof.
- 11. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.
- 12. If the Court approves the Settlement, payments to eligible Authorized Claimants pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after any appeals are resolved, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.
- 13. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Settlement Notice, you may contact the Claims Administrator, A.B. Data, Ltd., at the above address, by email at info@FacebookSecuritiesLitigation.com, or by toll-free phone at 866-963-9974, or you can visit the website, <a href="https://www.FacebookSecuritiesLitigation.com">www.FacebookSecuritiesLitigation.com</a>, where copies of the Claim Form and Settlement Notice are available for downloading.
- 14. NOTICE REGARDING ELECTRONIC FILES: Certain Claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the website at <a href="www.FacebookSecuritiesLitigation.com">www.FacebookSecuritiesLitigation.com</a> or you may email the Claims Administrator's electronic filing department at info@FacebookSecuritiesLitigation.com. Any file not in accordance with the required electronic filing format will be subject to rejection. Only one claim should be submitted for each separate legal entity (see ¶ 8 above) and the complete name of the beneficial owner(s) of the securities must be entered where called for (see ¶ 7 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@FacebookSecuritiesLitigation.com to inquire about your file and confirm it was received.

#### **IMPORTANT PLEASE NOTE:**

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT 866-963-9974.

## PART IV - SCHEDULE OF TRANSACTIONS IN FACEBOOK CLASS A COMMON STOCK

Please be sure to include proper documentation with your Claim Form as described in detail in Part III – General Instructions, ¶ 6, above. Do not include information regarding securities other than Facebook Common Stock.

purchase or acquisition (i	including free receipts) ll shares purchased in F	of Facebook Common Stoc acebook's Initial Public Of	MAY 21, 2012 – Separately list each ck from May 17, 2012 through the cloffering. Shares purchased directly in the second	se of trading on						
Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Purchase/ Acquisition Price (excluding any taxes, commissions, and fees)	Confirm Proof of Purchase Enclosed						
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	of shares of Facebook		OUGH FEBRUARY 23, 2018 – or acquired from May 22, 2012	IF NONE, CHECK HERE						
or disposition (including	free deliveries) of Face		Separately list each and every sale after the opening of trading on May mented.)	IF NONE, CHECK HERE						
Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (not deducting any taxes, commissions, and fees)	Confirm Proof of Sale Enclosed						
/ /		\$	\$	0						
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/ /		\$	\$	0						
Stock held as of the close	e of trading on February	- State the total number of 23, 2018. (Must be docum	Shares of Facebook Common nented.)	Confirm Proof of Position						
If none, write "zero" or "	0."			Enclosed o						
SAME FORMAT. PR	INT THE BENEFIC ER IDENTIFICATIO	IAL OWNER'S FULL N NUMBER ON EACH	ABOVE, ATTACH EXTRA SCH NAME AND LAST FOUR DIG H ADDITIONAL PAGE. IF YO	ITS OF SOCIAL						

<sup>&</sup>lt;sup>1</sup> **Please note**: Information requested with respect to the number of shares you purchased or acquired of Facebook Common Stock from May 22, 2012 through and including February 23, 2018 is needed in order to balance your claim; purchases during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

#### PART V - RELEASE OF CLAIMS AND SIGNATURE

# YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 7 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and our respective heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the Judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged each and every Released Plaintiffs' Claim against Defendants and the other Defendants' Releasees, and shall forever be barred and enjoined from prosecuting any or all of the Released Plaintiffs' Claims against any of the Defendants' Releasees.

#### **CERTIFICATION**

By signing and submitting this Claim Form, the Claimant(s) or the person(s) who represent(s) the Claimant(s) agree(s) to the release above and certifies (certify) as follows:

- 1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
- 2. that the Claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
- 3. that the Claimant(s) did *not* submit a request for exclusion from the Class in connection with the previously disseminated Class Notice;
- 4. that I (we) own(ed) the Facebook Common Stock identified in the Claim Form and have not assigned the claim against any of the Defendants or any of the other Defendants' Releasees to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
- 5. that the Claimant(s) has (have) not submitted any other claim covering the same purchases of Facebook Common Stock and knows (know) of no other person having done so on the Claimant's (Claimants') behalf;
- 6. that the Claimant(s) submit(s) to the jurisdiction of the Court with respect to Claimant's (Claimants') claim and for purposes of enforcing the releases set forth herein;
- 7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
- 8. that the Claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this Claim, and waives any right of appeal or review with respect to such determination;
- 9. that I (we) acknowledge that the Claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
- 10. that the Claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the Claimant(s) is (are) exempt from backup withholding or (ii) the Claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the Claimant(s) that he, she, or it is no longer subject to backup withholding. If the IRS has notified the Claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.

Signature of Claimant	Date
Print Claimant name here	
Signature of Joint Claimant, if any	Date
Print Joint Claimant name here	
If the claimant is other than an individual, or is not the person completing this form, the following als	o must be provided:
Signature of person signing on behalf of Claimant	Date
Print name of person signing on behalf of Claimant here	
Capacity of person signing on behalf of Claimant, if other than an individual, e.g., executor, preside	nt, trustee, custodian, etc.
(Must provide evidence of authority to act on behalf of Claimant – see $\P$ 9 on page 4 of this Claim Form	1
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UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED

HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

#### REMINDER CHECKLIST

- 1. Sign the above release and certification. If this Claim Form is being made on behalf of Joint Claimants, then both must sign.
- 2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
- 3. Do not highlight any portion of the Claim Form or any supporting documents.
- 4. Keep copies of the completed Claim Form and documentation for your own records.
- 5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 866-963-9974.
- 6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
- 7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@FacebookSecuritiesLitigation.com, or by toll-free phone at 866-963-9974, or you may visit <a href="https://www.FacebookSecuritiesLitigation.com">www.FacebookSecuritiesLitigation.com</a>. DO NOT call Facebook, the other Defendants, or their counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL, *POSTMARKED* **NO LATER THAN JULY 24, 2018**, ADDRESSED AS FOLLOWS:

Facebook Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173007 Milwaukee, WI 53217

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before July 24, 2018 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.