

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

JIHONG WANG, QI LI, LES AKIO OMORI, and
ALAN BECK, individually and on behalf of all others
similarly situated,

Plaintiffs,

v.

CHINA FINANCE ONLINE CO. LIMITED,

Defendant.

Case No.: 1:15-CV-07894-RMB

CLAIM FORM AND RELEASE

Deadline for Submission: March 3, 2017

If you purchased or otherwise acquired China Finance Online Co. Limited American Depositary Shares (“ADSs”) between April 29, 2013 and June 3, 2015, inclusive (the “Class Period”), you may be a “Class Member” and entitled to share in the settlement proceeds.

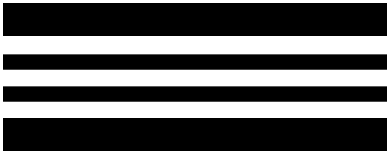
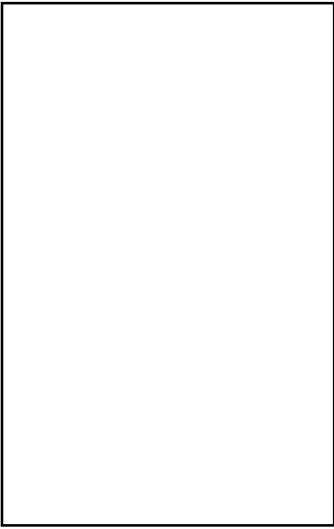
IF YOU ARE A CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS CLAIM FORM AND RELEASE (“CLAIM FORM”) IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

YOU MUST COMPLETE AND SIGN THIS CLAIM FORM AND MAIL IT BY FIRST CLASS MAIL, POSTMARKED NO LATER THAN MARCH 3, 2017 TO STRATEGIC CLAIMS SERVICES, THE CLAIMS ADMINISTRATOR, AT THE FOLLOWING ADDRESS:

China Finance Online Securities Litigation
c/o Strategic Claims Services
600 N. Jackson St., Ste. 3
Media, PA 19063
Tel.: 866-274-4004
Fax: 610-565-7985
info@strategicclaims.net

YOUR FAILURE TO SUBMIT YOUR CLAIM FORM AND THE SUPPORTING DOCUMENTATION BY MARCH 3, 2017 WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR. NOTE THAT CLAIMS CALCULATING TO AN AWARD AMOUNT LESS THAN \$10.00 WILL NOT BE PAID.

IF YOU DO NOT SUBMIT A TIMELY, PROPERLY ADDRESSED AND COMPLETED CLAIM FORM, YOUR CLAIM MAY BE REJECTED, AND YOU MAY BE PREVENTED FROM RECEIVING ANY DISTRIBUTION FROM THE NET SETTLEMENT AMOUNT



**Must be Postmarked
or Received
No Later Than
March 3, 2017**

China Finance Online Securities Litigation
c/o Strategic Claims Services
600 N. Jackson St., Ste. 3
Media, PA 19063
United States of America

CLAIM FORM AND RELEASE FORM

Please Type or Print in the Boxes Below

Claimant or Representative Contact Information:

Name:		
Address:		
City:	State:	ZIP:
Foreign Province:	Foreign Country:	
Day Phone:	Evening Phone:	
Email:		
Social Security Number (for individuals):	OR	Taxpayer Identification Number (for estates, trusts, corporations, etc.):

____ Individual Retirement Account (IRA) ____ Joint Tenancy (ownership by two or more persons) ____
Employee of China Finance ____ Individual ____ Other (trust, corporation, partnership) ____

1. State the number of shares that Claimant is seeking compensation for.

2. **BEGINNING HOLDINGS:** State the number of shares of CFO ADSs that the Claimant owned at the close of business on **April 28, 2013**. If none, write “zero” or “0.” If other than zero, be sure to attach the required documentation.

3. **PURCHASES:** List all purchases of China Finance Online ADSs during the period **April 29, 2013** through and including **September 1, 2015**. (NOTE: If you acquired your China Finance Online ADSs during this period other than by an open-market purchase, please provide a complete description of the terms of the acquisition on a separate page.) Be sure to attach the supporting documentation.

Trade Date(s) (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Total Purchase Price (excluding commissions, transfer taxes, or other fees)	Proof of Purchase Enclosed (Y/N)
			<input type="checkbox"/> Y <input type="checkbox"/> N
			<input type="checkbox"/> Y <input type="checkbox"/> N
			<input type="checkbox"/> Y <input type="checkbox"/> N

4. **SALES:** List all sales of China Finance Online ADSs during the period **April 29, 2013** through and including **September 1, 2015**. Be sure to attach the supporting documentation.

Trade Date(s) (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Total Sales Price (excluding commissions, transfer taxes, or other fees)	Proof of Sales Enclosed (Y/N)
			<input type="checkbox"/> Y <input type="checkbox"/> N
			<input type="checkbox"/> Y <input type="checkbox"/> N
			<input type="checkbox"/> Y <input type="checkbox"/> N

5. **HOLDINGS:** State the number of shares of China Finance Online ADSs that the claimant owned at the close of business on **September 1, 2015**. Be sure to attach the supporting documentation.

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

CERTIFICATION AND RELEASE

By signing and submitting this Claim Form, I agree to release and discharge all of the Releasees of all Released Class Members' Claims (as defined in the Notice), pursuant to the terms of the Stipulation of Settlement.

By signing and submitting this Claim Form, the Claimant or the person who represents the Claimant certifies as follows:

I (WE) DECLARE, UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA, THAT ALL OF THE ANSWERS I (WE) PROVIDED IN THIS CLAIM FORM ARE TRUE AND CORRECT AND THAT THE DOCUMENTS SUBMITTED WITH THIS CLAIM FORM ARE TRUE AND GENUINE.

Executed this _____ day of _____ in _____
(Month/Year) (City/State/Country)

(Sign your name here)

(Sign your name here)

(Type or print your name)

(Type or print your name)

(Capacity of persons signing, e.g., Beneficial Purchaser, Executor or Administrator)

(Capacity of persons signing, e.g., Beneficial Purchaser, Executor or Administrator)

REMINDER CHECKLIST:

- | | |
|---|---|
| <ol style="list-style-type: none">1. Please sign the Certification Section of the Claim Form.2. Keep a copy of your Claim Form and all submitted documentation for your records.3. If this claim is made on behalf of joint claimants, then each claimant must sign.4. Please remember to attach supporting documents. | <ol style="list-style-type: none">5. If you move, please send us your new address.6. DO NOT SEND ORIGINALS OF ANY SUPPORTING DOCUMENTS.7. Do not use highlighter on the Claim Form or supporting documentation. |
|---|---|

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c/o Strategic Claims Services
600 N Jackson Street – Suite 3
Media, PA 19063

IMPORTANT LEGAL DOCUMENT – PLEASE FORWARD