UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA WESTERN DIVISION

IN RE AMGEN INC.
SECURITIES LITIGATION

Case No. CV 07-2536 PSG (PLAx)
Honorable Philip S. Gutierrez

PROOF OF CLAIM AND RELEASE FORM

I. GENERAL INSTRUCTIONS

- 1. Capitalized terms not defined in this Proof of Claim and Release form ("Claim Form") have the same meanings as set forth in the Notice of Proposed Class Action Settlement and Motion for Attorneys' Fees and Expenses ("Settlement Notice") that accompanies this Claim Form and the Stipulation and Agreement of Settlement, dated as of July 20, 2016 (the "Stipulation").
- 2. To be eligible to recover from the Net Settlement Fund in the action entitled *In re Amgen Inc. Securities Litigation*, Case No. CV 07-2536 PSG (PLAx) (C.D. Cal.) (the "Action"), you must complete and, on page 9, sign this Claim Form. If you fail to submit a properly completed and addressed Claim Form, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the Settlement of the Action.
 - 3. Submission of this Claim Form, however, does not assure that you will share in the Net Settlement Fund.
- 4. YOU MUST MAIL OR SUBMIT YOUR COMPLETED AND SIGNED CLAIM FORM SO THAT IT IS POSTMARKED OR RECEIVED ON OR BEFORE DECEMBER 23, 2016, ADDRESSED AS FOLLOWS:

AMGEN INC. SECURITIES LITIGATION c/o Epiq P.O. Box 4178 Portland, OR 97208-4178

To be considered timely, your Claim Form must be postmarked or received by the deadline above. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

- 5. If you are NOT a Class Member (as defined in the Settlement Notice), DO NOT submit a Claim Form.
- 6. If you are a Class Member and have not timely and validly requested exclusion, you will be bound by the terms of the Settlement and any judgment entered in this Action, WHETHER OR NOT YOU SUBMIT A CLAIM FORM
- 7. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the settlement website at www.AmgenSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@AmgenSecuritiesLitigation.com. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email after processing your file with your claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@AmgenSecuritiesLitigation.com to inquire about your file and confirm it was received and acceptable.
- 8. You should be aware that it will take a significant amount of time to process all of the Claim Forms and to administer the Settlement. This work will be completed as promptly as time permits, given the need to review and tabulate each Claim Form. Please notify the Claims Administrator of any changes of address.

MUST BE POSTMARKED OR RECEIVED ON OR BEFORE **DECEMBER 23, 2016** In re Amgen Inc. Securities Litigation

PROOF OF CLAIM AND RELEASE Use Blue or Black Ink Only.

<u>PART I: CLAIMANT IDENTIFICATION</u> The Claims Administrator will use this information for all communications relevant to this Claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above. Please type or print.

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PART II: TRANSACTIONS IN AMGEN PUBLICLY TRADED COMMON STOCK

1. BEGINNING HOLDINGS – State the total number of shares of Amgen publicly traded common stock held as of the opening of trading on April 22, 2004. If none, write "0" or "Zero." (Must be documented.)								
•								
2. PURCHASES DURING THE CLASS PERIOD – Separately list each and every purchase of Amgen publicly traded common stock from after the opening of trading on April 22, 2004 through and including the close of trading on May 10, 2007. (Must be documented.)								
Purchase Date (List Chronologically) (MMDDYY)	Number of Shares Purchased	Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)					
		•	•					
		•	•					
		•	•					
3. PURCHASES DURING 90-DAY LOOKBACK PERIOD – State the total number of shares of Amgen publicly traded common stock purchased from after the opening of trading on May 11, 2007 and including the close of trading on August 8, 2007. (Must be documented. For claim balancing purposes only.)								
4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD — Separately list each and every sale/disposition of Amgen publicly traded common stock from after the opening of trading on April 22, 2004 through and including the close of trading on August 8, 2007. (Must be documented.)								
oc documented.)			ust 6, 2007. (Must					
Sale Date (List Chronologically) (MMDDYY)	Number of Shares Sold	Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)					
Sale Date (List Chronologically)			Total Sale Price (excluding taxes,					
Sale Date (List Chronologically)			Total Sale Price (excluding taxes,					
Sale Date (List Chronologically)			Total Sale Price (excluding taxes, commissions, and fees)					
Sale Date (List Chronologically) (MMDDYY)	Shares Sold	Per Share	Total Sale Price (excluding taxes, commissions, and fees)					
Sale Date (List Chronologically) (MMDDYY)	Shares Sold	Per Share One of Amgen publicly trade	Total Sale Price (excluding taxes, commissions, and fees)					
Sale Date (List Chronologically) (MMDDYY)	Shares Sold	Per Share One of Amgen publicly trade	Total Sale Price (excluding taxes, commissions, and fees)					

PART III: TRANSACTIONS IN AMGEN EXCHANGE-TRADED CALL OPTIONS

f IF NONE, CHECK HERE.		Exercise Date (MMDDYY)
22, 2004. L		Insert an "E" if Exercised Insert an "X" if Expired
ening of trading on April	Number of Call Option Contracts in Which You Had an Open Interest	Total Purchase Price (excluding taxes, commissions, and fees)
ts held as of the op		Purchase of Amg documented.) Purchase Price Per Call Option Contract Option =
call option contrac	Expiration Date of Call Option Contract (MMDDYY)	Number of Call Option Contracts Purchased
total number of Amgen ented.)	Strike Price of Ca Option Contract	Expiration Date of Call Option Contract (MMDDYY)
NGS – State the i (Must be docum		NG THE CLASS d including the cl Strike Price of Call Option Contract
1. BEGINNING HOLDINGS – State the total number of Amgen call option contracts held as of the opening of trading on April 22, 2004. If none, write "0" or "Zero." (Must be documented.)		April 22, 2004 through and including the close of trading on May 10, 2007. (Must be documented.) April 22, 2004 through and including the close of trading on May 10, 2007. (Must be documented.) Bate of Purchase Strike Expiration Date Chronologically Call Option Contract (MMDDYY) Contract (MMD

3. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of Amgen call option contracts listed in #2 above from after the opening of trading on April 22, 2004 trading on May 10, 2007. (Must be documented.)	Strike Expiration Date Price of of Call Option Call Option Call Option Contract Call Option Contract Contract Sold Contract Contract (MMDDYY) Contracts Sold Contract Contract (MMDDYY) Contract Commissions, and fees) Option Contract Commissions, and fees Contract Commissions and fees Contract Contract Commissions and fees Contract Commissions and fees Contract Contract Commissions and fees Contract Contract Commissions and fees Contract Commissions and fees Contract Contract Contract Commissions and fees Contract Contrac	4. ENDING HOLDINGS – Separately list all positions in Amgen call option contracts in which you had an open interest as of the close of trading on May 10, 2007. (Must be documented.)	Strike Price of Call Option Contract Option Contract (MMDDYY) Had an Open Interest MMDDYY	IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS/HOLDINGS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX. IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.
3. SALES DURING THE CLASS PERIOD AND DUI each and every sale of Amgen call option contracts listed through and including the close of trading on May 10, 200	Strike Date of Sale (List Chronologically) (MMDDYY) Contract Contract Chronologically Contract Contrac	4. ENDING HOLDINGS – Separately list all positions in Amger as of the close of trading on May 10, 2007. (Must be documented.)	St	IF YOU NEED AD IF YOU DO NOT O

PART IV - TRANSACTIONS IN AMGEN EXCHANGE-TRADED PUT OPTIONS

IF NONE, CHECK HERE.		option contracts	Exercise Date (MMDDYY)
DINGS – State the total number of Amgen put option contracts held as of the opening of trading on April 22, 2004.	Strike Price of Put Option Contract Option Con	2. SALES (WRITING OF PUT OPTIONS) DURING THE CLASS PERIOD— Separately list each and every sale (writing) of Amgen put option contracts from after the opening of trading on April 22, 2004 through and including the close of trading on May 10, 2007. (Must be documented.)	Strike Price of Put Option Contract Con
1. BEGINNING HOI (Must be documented.)		2. SALES (from after th	Date of Sale (Writing) (List Chronologically) (MMDDYY)

PART V – TRANSACTIONS IN AMGEN PUBLICLY TRADED BONDS

Code A = 0.125% Convertible Senior Notes Due February 1, 2011 (CUSIP: 031162AN0) Code B = 0.375% Convertible Senior Notes Due February 1, 2013 (CUSIP: 031162AQ3)

ond Code see above)	Face Value of This Bond Opening of Trading on			ond Held as of the Close of n May 10, 2007
PURCHASES -	- For each particular Amgen	Bond, state (in chronologi	ical order) all purchases	from after the opening
ding on April 22 cumented.) Bond Code (see above)	, 2004 through and including Trade Date of Purchase (Month/Day/Year)	, c	ay 10, 2007. If none, wr Purchase Price	Aggregate Cost (excluding taxes, commissions, and fees
SALES – Separa ening of trading ocumented.)	ately list (in chronological order April 22, 2004 through and	der) each and every sale of d including the close of trad	Amgen Bonds from af ing on May 10, 2007. (M	ter the lust be CHECK HER
Bond Code (see above)	Trade Date of Sale (Month/Day/Year)	Face Value of This Bond Sold	Sale Price	Aggregate Received (excluding taxes, commissions, and fee

II. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

1. By signing and submitting this Claim Form, the claimant(s) or the person(s) acting on behalf of the claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation of Net Settlement Fund described in the accompanying Settlement Notice. I (We) also submit to the jurisdiction of the United States District Court for the Central District of California (the "Court") with respect to my (our) claim as a Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in eligible Amgen securities, if required to do so. I (We) have not submitted any other claim covering the same transactions in publicly traded Amgen securities during the alleged Class Period and know of no other person having done so on my (our) behalf.

III. RELEASES, WARRANTIES, AND CERTIFICATION

- 1. I (We) hereby warrant and represent that I am (we are) a Class Member as defined in the Settlement Notice, that I am (we are) not one of the "Released Defendant Parties" as defined in the accompanying Settlement Notice, and that I (we) believe I am (we are) eligible to receive a distribution from the Net Settlement Fund under the terms and conditions of the Plan of Allocation, as set forth in the Settlement Notice.
- 2. As a Class Member, I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge with prejudice the Released Claims as to each and all of the Released Defendant Parties (as these terms are defined in the accompanying Settlement Notice).
- 3. As a Class Member, I (we) hereby acknowledge that I (we) will not be entitled to receive a recovery in any other action against any of the Released Defendant Parties based on or arising out of the Released Claims (as these terms are defined in the accompanying Settlement Notice).
- 4. This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.
- 5. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
- 6. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases and sales and other transactions in publicly traded Amgen securities that occurred during the Class Period and the number of securities held by me (us), to the extent requested.
- 7. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare that all of the foregoing information supplied by the undersigned is true and correct.

Executed this	day of	, 2016
Signature of Claimant		Date YY
Type or print name of Claimant		
Signature of Joint Claimant, if any		Date DD - YY
Type or print name of Joint Claimant, if any.		
If the Claimant i provided:	s other than an individual, or is not the person completing the	is form, the following also must be
Signature of person signing on behalf of Claimant		Date DD - YY
Type or print name of person signing, on behalf of Claimant.		
Capacity of person signi Power of Attorney, etc.	ng on behalf of Claimant, if other than an individual, e.g., Administrate	or, Executor, Trustee, President, Custodian,

REMINDER CHECKLIST:

- 1. Please sign this Claim Form.
- 2. Remember to attach supporting documentation, if available. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
- 3. Do NOT send original stock certificates or original brokerage statements.
- 4. Keep a copy of your Claim Form for your records.
- 5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed submitted until you receive an acknowledgment postcard. If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll-free at 800-462-2317.
- 6. If you move after submitting this Claim Form, please notify the Claims Administrator of the change in your address.

THIS CLAIM FORM MUST BE POSTMARKED OR RECEIVED NO LATER THAN DECEMBER 23, 2016.

Amgen Inc. Securities Litigation c/o Epiq P.O. Box 4178 Portland, OR 97208-4178